

# Investigating the Existence of Barker Codes

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Greg Coxson, TSC and other people

# Overview

- Introduction
- Problem statement
- Areas of work
- Results
- Conclusion
- Future work

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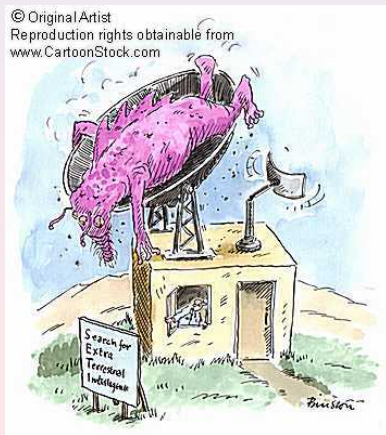
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# Physical problem

Accurately identify an object of interest using sequences of radar pulses.





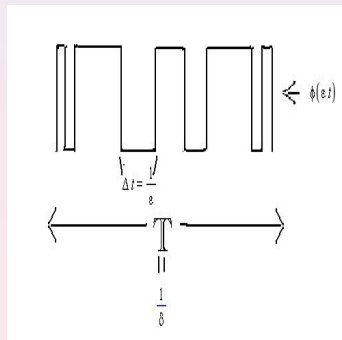
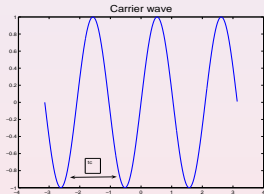
# Our approaches

- Continuous model (lunatic fringe)
- Discrete model

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# Continuous model



# Defining the pulse

- pulse:  $f(t) = e^{i(t+\phi(\epsilon t))}A(\delta t)$
- return pulse:  $g(t) = f^*(t - \frac{T_s}{\delta})$
- convolution:  $I(\tau) = \int_{-\infty}^{\infty} f(t - \frac{\tau}{\delta})g(t)dt$

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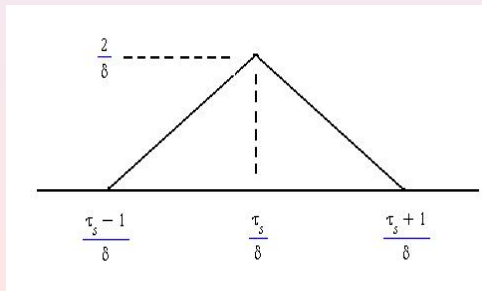
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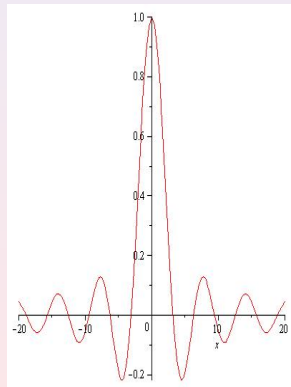
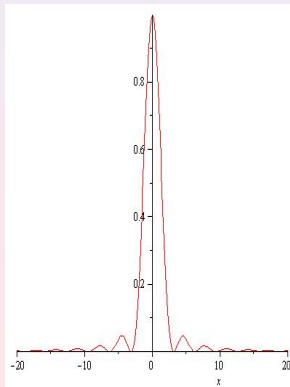
# Why unmodulated pulses don't work

Suppose that  $\phi(\epsilon t) = 0$ . So

$$\begin{aligned} I(\tau) &= \int_{-\infty}^{\infty} e^{i(t-\frac{\tau}{\delta})} A\left(\left(t-\frac{\tau}{\delta}\right)\delta\right) e^{-i(t-\frac{\tau_s}{\delta})} A\left(\left(t-\frac{\tau_s}{\delta}\right)\delta\right) dt \\ &= \int_{\frac{\tau_s-1}{\delta}}^{\frac{\tau_s+1}{\delta}} A\left(\delta\left(t-\frac{\tau_s}{\delta}\right)\right) A\left(\delta\left(t-\frac{\tau}{\delta}\right)\right) dt \end{aligned}$$



# Some pretty pictures





## Ellis's brilliant insight

Let  $f(x)$  be the characteristic function from  $-\mu$  to  $\mu$ . Then

$$\widehat{f}(\omega) = \frac{\sin(\mu\omega)}{\omega} =: g(\omega).$$

$$\widehat{g}(x) = f(x)$$

$$\widehat{g^2}(x) = (f * f)(x)$$

$$\vdots$$

Solving for the phase modulation  $\phi$ 

Recall  $I(\tau) = \int_{-\infty}^{\infty} f(t - \frac{\tau}{\delta}) f^*(t - \frac{\tau_s}{\delta}) dt$ . Using Fourier transform gives

$$\frac{\delta}{e^{i\tau_s}} \widehat{g^{2n}} = (\widehat{f})^2$$

$$e^{i(t+\phi(\epsilon t))} A(\delta t) = f(t) = \sqrt{\frac{\delta}{e^{i\tau_s}} \int_{-\infty}^{\infty} \widehat{g^{2n}}(\omega) e^{-it\omega} d\omega}$$

# Transition to discrete case

# Terminology and Definitions

- Let  $\mathbf{x}$  denote a sequence  $(x_1, x_2, \dots, x_N)$
- Where  $x_i = \pm 1$
- The response of the matched filter is the autocorrelation of  $x$ :  

$$ACF_x(k) = x * \bar{x} = \sum_{i=1}^{N-k} x_i x_{i+k}$$
- For any  $k$ ,  $-(N-1) \leq k \leq N-1$
- Where  $ACF_x(0) = N$  and  $k \neq 0$  are sidelobes
- Energy =  $\sum_k ACF_x(k)^2$
- Merit factor  $F = N^2 / (2 * \text{Energy})$  "ratio of central to sidelobe energy of long, low autocorrelation sequences"

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# The Merit Factor of Long Low Autocorrelation Binary Sequence by Golay

- **Motivation for studying this paper:** Golay outlines a method to calculate an upper bound for the merit factor for any large  $N$ . If his arguments are plausible there are no Barker codes of length greater than length 13

# Outlining Golay's Approach

- Consider a long binary sequence and its autocorrelation as independent random variables
- Approximate the distribution of the autocorrelation by the Gaussian density function
- Consider all autocorrelation functions of the same length  $N/2$  and calculate their merit factor  $F_1 = 9.82$  using the stationary approximation

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# Outlining Golay's Approach(con't)

- Split the autocorrelation into two groups( $N/4$  and  $3N/4$ )  
recalculate  $F$   $F_2 = 11.1613$
- Continue splitting and redefining the merit factor for  $m$  stages
- Continuing to the continuous case he finds an optimal bound  
at  $F_\infty^{opt} = 12.32$
- There are two equations for the upper bound of sequence,  
general and skew symmetric respectively

$$F_g^{opt} = \frac{12.3248}{(8\pi N)^3/2N} \quad (1)$$

$$F_s^{opt} = \frac{12.3248}{(\pi^3 N^3)/4 + \frac{12.3248}{N}} \quad (2)$$

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## Highest known merit factor from Golay's paper

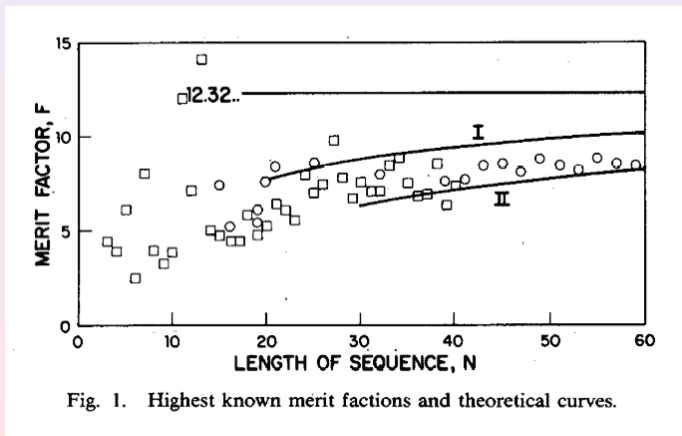
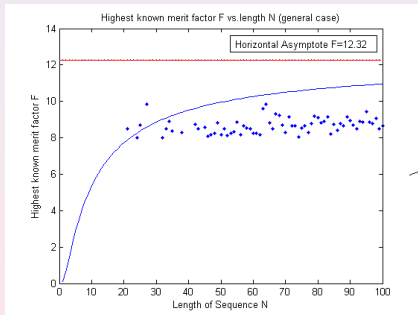
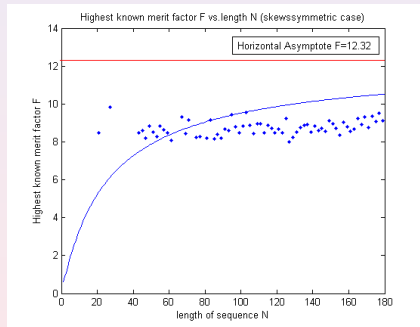


Fig. 1. Highest known merit fractions and theoretical curves.

## Highest known merit factor



(a) general case



(b) skewsymmetric case

Figure: Highest known merit factor  $F$  vs. length  $N$

# Curve Fitting Approach

- Calculating binary sequences for length less than 40 can be done by exhausting all possible sequences
- For strings greater than 40 other techniques involving curve fitting and selecting random sequences help explore higher merit factors
- One technique is to integrate the tail of the distribution from 0 to  $1/8$  to find the number sequences with merit greater than 8 or 0 to  $1/9$  to find sequences with merit factor greater than 9

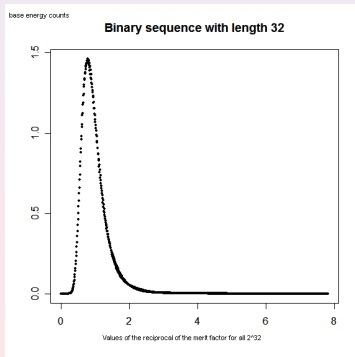
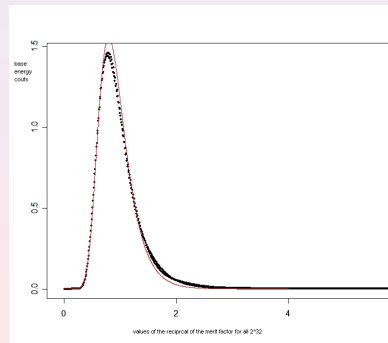
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## Two fits of the distribution curve

(a) Full distribution of  $1/F$  at  $N = 32$ 

(b) The least square



# Experimental Techniques

- As previously mentioned, for small sequences of length  $N$  all combinations can be exhausted but for large  $N$  sequences must be randomly generated.
- Is it possible to reduce the number of sequences we have to check by looking at the merit factors of a given sequence and then analyzing the ratios of 1's and -1's

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# The idea

- Start with a sequence of length  $N$  with all elements in the sequence being 1
- Randomly choose an element in the sequence and flip the sign
- If the merit factor of the new sequence goes up, we keep this sequence. We proceed with the new sequence with some probability  $p$ . Where  $p = \exp(-\alpha * (ACF_2 - ACF_1))$
- Goal: To find sequences of large  $N$  that have high merit and low PSL

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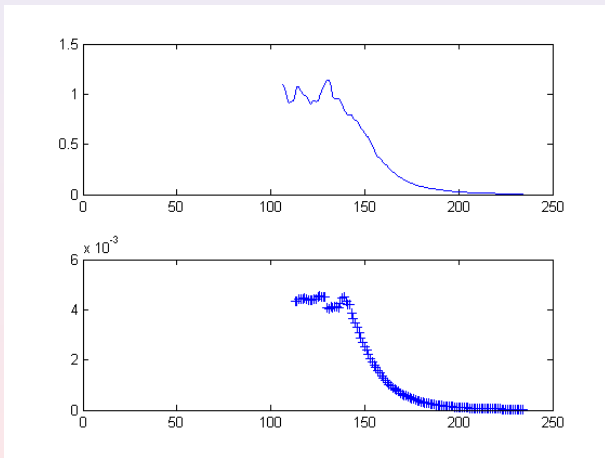
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## two fits of the distribution curve



- Length 233, approximately 3000 time steps
- number of 1's-125(Found in Ron Ferguson's data)
- number of 1's-125(Found in our data)

# Conclusions

- There are a variety of different perspectives on what makes a "good" Barker type pulse
- Considering continuous phase modulation we may find a barker-like pulse for a given convolution function with high and narrow peak and arbitrarily small sidelobes
- In order to discover higher merit factors, new techniques in the discrete and continuous cases need to be developed to handle larger sequences



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# References

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