# Chapter 2 The ASSIGN Procedure

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# Chapter 2 The ASSIGN Procedure

## **Overview**

When n = m, the number of source nodes equals the number of sink nodes and the procedure solves

$$\min(\max)\Sigma_{i=1}^m \Sigma_{i=1}^n c_{ij} x_{ij}$$

subject to:  $\sum_{j=1}^{m} x_{ij} = 1$  for i = 1, ..., n

 $\Sigma_{i=1}^{n} x_{ij} = 1$  for j = 1, ..., m

where x = 0 or 1 for i = 1, ..., n and j = 1, ..., m

When n < m, the number of source nodes is less than the number of sink nodes and the procedure solves

 $\min(\max)\Sigma_{i=1}^m \Sigma_{i=1}^n c_{ij} x_{ij}$ 

subject to:  $\sum_{j=1}^{m} x_{ij} = 1$  for i = 1, ..., n

 $\sum_{i=1}^{n} x_{ij} \le 1$  for j = 1, ..., m

where x = 0 or 1 for i = 1, ..., n and j = 1, ..., m

When n > m, the number of source nodes is greater than the number of sink nodes and the procedure solves

$$\min(\max)\sum_{j=1}^{m}\sum_{i=1}^{n}c_{ij}x_{ij}$$

subject to:  $\sum_{j=1}^{m} x_{ij} \leq 1$  for i = 1, ..., n

 $\sum_{i=1}^{n} x_{ij} = 1$  for j = 1, ..., m

where x = 0 or 1 for i = 1, ..., n and j = 1, ..., m

## **Getting Started**

The ASSIGN procedure finds the minimum or maximum cost assignment of sink nodes to source nodes. Many practical problems can be formulated in a way that is solvable by PROC ASSIGN.

## Introductory Example

Consider assigning five programmers to five programming jobs. Each programmer prefers specific programming jobs over others. You can use PROC ASSIGN to assign jobs to programmers in such a way that the total preferences of the group are maximized. Suppose you ask each programmer to rank the jobs according to preference (using 1 for the most preferred job and 5 for the least preferred job). PROC ASSIGN maximizes the total preference of the group by minimizing the sum of the preferences. In the matrix that follows, each row of the matrix represents a programmer and each column represents a programming job. Each entry in the matrix is a preference ranking each programmer given each programming job.

PRGMER	JOB1	JOB2	JOB3	JOB4	JOB5
PRGMER1	4	1	3	5	2
PRGMER2	2	1	3	4	5
PRGMER 3	3	2	4	1	5
PRGMER4	2	3	4	5	1
PRGMER5	4	2	3	1	5

To solve this problem using PROC ASSIGN, the data must be in a SAS data set; the solution is output to a SAS data set and no output is produced. Each observation corresponds to a programmer and contains the programming job assigned to it. In this way, the procedure identifies the assignment of the five jobs to the five programmers. To solve this assignment problem, place the preference data into a SAS data set (PREFER). Then, call PROC ASSIGN, identifying the cost variables in the input data set. The solution is output by PROC ASSIGN to a SAS data set (PREFER1) and displayed with the PRINT procedure. The following statements produce Figure 2.1:

#### title 'Assigning Programming Jobs to Programmers';

```
data prefer;
    input prgmer $ job1-job5;
    datalines;
PRGMER1 4 1 3 5 2
PRGMER2 2 1 3 4 5
PRGMER3 3 2 4 1 5
PRGMER4 2 3 4 5 1
PRGMER5 4 2 3 1 5
;
```

```
proc assign data=prefer out=prefer1;
   cost job1-job5;
   id prgmer;
run;
proc print data=prefer1;
   sum _fcost_;
run;
```

The following note is written to the SAS log:

NOTE: The minimum cost assignment costs 8.

		Assign	ing Prog	ramming	Jobs to 3	Programm	ers	
Obs	prgmer	job1	job2	job3	job4	job5	_ASSIGN_	_FCOST_
1	PRGMER1	4	1	3	5	2	job2	1
2	PRGMER2	2	1	3	4	5	job1	2
3	PRGMER3	3	2	4	1	5	job4	1
4	PRGMER4	2	3	4	5	1	job5	1
5	PRGMER5	4	2	3	1	5	job3	3
								8
L								

Figure 2.1. Assigning Programming Jobs to Programmers

The solution, given in column \_ASSIGN\_, shows how each programming job should be assigned to each worker in order to minimize the assignment cost, which is equivalent to maximizing the worker preferences. The \_FCOST\_ column expresses in units of preference the cost of the assignment. The SUM statement in the PRINT procedure is used to total the assignment cost.

# **Syntax**

PROC ASSIGN options ; BY variables ; COST variables ; ID variable ;

The statements and options available on PROC ASSIGN are discussed in the order in which they appear in the preceding list of syntax elements.

## **Functional Summary**

The options available with PROC ASSIGN and its statements are summarized by purpose in the tables that follow.

Table 2.1.	Variable Lists
------------	----------------

Description	Statement	Option
process data in groups	BY	
cost variables	COST	
source node names	ID	

### Table 2.2. Data Set Options

Description	Statement	Option
input data set	ASSIGN	DATA=
output data set containing the solution	ASSIGN	OUT=

**Table 2.3.** Optimization Control Options

Description	Statement	Option
scaling factor for input cost data	ASSIGN	DEC=
find maximum cost assignment	ASSIGN	MAXIMUM

## **PROC ASSIGN Statement**

### **PROC ASSIGN** options;

The PROC ASSIGN statement invokes the procedure. The following options can appear in the PROC ASSIGN statement.

#### Data Set Options

#### DATA=SAS-data-set

names the SAS data set that contains the network specification. If the DATA= option is omitted, the most recently created SAS data set is used.

#### OUT=SAS-data-set

specifies a name for the output data set. If the OUT= option is omitted, the SAS System creates a data set and names it according to the DATA*n* convention. Refer to the "SAS Statements Used in the Data Set" section in base SAS documentation for more information.

#### **Optimization Control Options**

#### DEC=n

specifies a scaling factor for the input cost data. The input data are scaled by  $10^n$ . The default value of n is 3. For more information, see the discussion on scaling in the "Details" section.

#### MAXIMUM

specifies that the objective is to find an assignment that maximizes the sum of the costs. By default, PROC ASSIGN minimizes the sum of the costs.

## **BY Statement**

#### BY variables;

A BY statement can be used with PROC ASSIGN to obtain separate solutions on problems in groups defined by the BY variables. When you use a BY statement, the procedure expects the input data to be sorted in ascending order of the BY variables. If your input data set is not sorted, use the SORT procedure with a similar BY statement to sort the data, or, if appropriate, use the BY statement option NOTSORTED or DESCENDING. See Example 2.4 in the "Examples" section. For more information, refer to the discussion of the BY statement in base SAS documentation.

## **COST** Statement

#### **COST** variables;

The COST statement identifies the variables to be interpreted as sink nodes in the input DATA= data set. The values of a COST variable are the costs (or preferences) of assigning each source node (named in the ID variable) to the sink node identified with the COST variable.

If the value of a COST variable is missing, then that particular assignment between source and sink node is infeasible. If you do not use a COST statement, then all numeric variables not specified in the ID or BY statement are assumed to be cost variables.

To find an assignment that maximizes profit instead of minimizing cost, include the MAXIMUM option in the PROC ASSIGN statement and let the COST variables represent profit instead of cost. The COST variables must be numeric. See Example 2.1 for an illustration of the COST statement.

## **ID Statement**

#### ID variable;

The ID statement identifies a variable in the input DATA= data set that gives the names of the source nodes. The ID variable can be character or numeric.

## Details

## **Missing Values**

Because the value of a cost variable is interpreted as the cost of an assignment, a missing value for a cost variable is assumed to mean that the assignment is not allowed. Refer to Example 2.1 for an illustration of a data set with missing values.

## **Output Data Set**

The output data set contains the *m* cost variables in the input data set, any variables identified in the ID statement, and two new variables named  $\_ASSIGN\_$  and  $\_FCOST\_$ . The variable named  $\_ASSIGN\_$  is a character variable containing the names of the sink nodes (names of COST variables) assigned to the source nodes (values of the ID variable). The variable named  $\_FCOST\_$  is a numeric variable containing the costs of assigning the sink nodes to the source nodes. Note that the values of the *m* cost variables in the output data set reflect any effects of scaling performed by PROC ASSIGN.

## The Objective Value

If the problem is infeasible, an error message is written to the SAS log. Otherwise, the value of the objective function

 $\sum_{j=1}^{m} \sum_{i=1}^{n} c_{ij} x_{ij}$ 

under the optimal assignment is reported on the SAS log.

## Macro Variable \_ORASSIG

On termination, the ASSIGN procedure defines a macro variable named \_ORASSIG. This variable contains a character string that indicates the status of the procedure on termination and gives the objective value at termination. The form of the \_ORASSIG character string is

STATUS=charstr OBJECTIVE=objective

where charstr can be any one of the following.

- SUCCESSFUL
- INFEASIBLE
- MEMORY\_ERROR
- IO\_ERROR
- SYNTAX\_ERROR
- SEMANTIC\_ERROR
- BADDATA\_ERROR
- UNKNOWN\_ERROR

This information is useful when PROC ASSIGN comprises one step in a larger program that needs to identify just how the ASSIGN procedure terminated. Because \_ORASSIG is a standard SAS macro variable, it can be used in the ways that all macro variables can be used. See the *SAS Guide to Macro Processing* for more information. Example 2.2 illustrates the method to write the \_ORASSIG variable to the log.

## Scaling

PROC ASSIGN uses a variant of the *out-of-kilter algorithm*. Integral cost data are important for maintaining a rapid rate of convergence with this algorithm. To assure integrality, the cost data are automatically scaled by DEC= decimal places on input to PROC ASSIGN. If this scaling can result in loss of accuracy in the input data, a warning is written to the log indicating a nonzero fractional component in the data after scaling. The output data set produced by PROC ASSIGN contains the scaled input cost data rescaled to its original order of magnitude. Since the input cost data and the output cost data may differ because of scaling, you can use this difference as a measure of how poorly the input cost data were scaled.

# Examples

The following examples illustrate some of the capabilities of PROC ASSIGN. These examples, together with the other SAS/OR examples, can be found in the SAS sample library.

# Example 2.1. Assigning Subcontractors to Construction Jobs

This example shows how PROC ASSIGN can be used to maximize an objective function. Consider a construction project that consists of nine jobs. Because of the nature of the project, each job must be performed by a different subcontractor. Each job is bid upon by twelve subcontractors. The matrix that follows shows the expected profit to the contractor if each job is given to each subcontractor. Each row in the matrix represents a different job, and each column represents a different subcontractor.

SUBCONTRAC	FOR 1	2	3	4	5	6	7	8	9	10	11	12
JOB1	79	24	13	53	47	66	85	17	92	47	46	13
JOB2	43	59	33	95	55	97	34	55	84	94	26	56
JOB3	29	52	0	27	13	33	0	11	71	86	6	76
JOB4	88	83	64	72	0	67	27	47	83	62	35	38
JOB5	65	90	56	62	53	91	48	23	6	89	49	33
JOB6	44	79	86	93	71	7	86	59	0	56	45	59
JOB7	35	51	-9	91	39	32	3	12	79	25	79	81
JOB8	50	12	59	32	23	64	20	94	97	14	11	97
JOB9	25	17	39	•	38	63	87	14	4	18	11	45

The negative profit in the third column means that if job 7 is awarded to subcontractor 3, the contractor loses money. The missing value in the fourth column means that subcontractor 4 did not bid on job 9. PROC ASSIGN treats a missing value differently from the way it treats a 0. While it is possible that an optimal assignment could include a 0 (or even a negative) contribution to profit, the missing value is never included in an assignment. In this case, subcontractor 4 is never awarded job 9, regardless of the profit structure.

You can use PROC ASSIGN to find how the contractor should award the jobs to the subcontractors to maximize his profit. First, put the data in a SAS data set. Then, call PROC ASSIGN using the MAXIMUM option.

The following statements produce Output 2.1.1:

```
title 'Assigning Subcontractors to Construction Jobs';
data profit;
   input job $ subcon1-subcon12;
   datalines;
JOB1 79 24 13 53 47 66 85 17 92 47 46 13
JOB2 43 59 33 95 55 97 34 55 84 94 26 56
JOB3 29 52 0 27 13 33 0 11 71 86 6 76
JOB4 88 83 64 72 0 67 27 47 83 62 35 38
JOB5 65 90 56 62 53 91 48 23
                             6 89 49 33
JOB6 44 79 86 93 71 7 86 59 0 56 45 59
JOB7 35 51 -9 91 39 32 3 12 79 25 79 81
JOB8 50 12 59 32 23 64 20 94 97 14 11 97
JOB9 25 17 39 . 38 63 87 14 4 18 11 45
;
proc assign maximum data=profit;
   cost subcon1-subcon12;
   id job;
run:
proc print;
   sum _fcost_;
run;
```

The cost of the optimal assignment written to the SAS log is

```
NOTE: The maximum return assignment yields 814.
```

This means that the contractor can expect a profit of \$814 if he follows the optimal assignment.

Output 2.1.1. Assigning Subcontractors to Construction Jobs

			ASS:	ignin	g Su	bcon	trac	tors	to	Cons	truc	tion	Job	s	
											s	s	s	_	
		s	s	s	s	s	s	s	s	s	u	u	u	A	_
		u	u	u	u	u	u	u	u	u	b	b	b	S	F
		b	b	b	b	b	b	b	b	b	C	С	С	S	C
		C	C	C	C	C	C	C	C	C	0	0	0	I	0
0	j	0	0	0	0	0	0	0	0	0	n	n	n	G	S
b	0	n	n	n	n	n	n	n	n	n	1	1	1	N	т
s	b	1	2	3	4	5	6	7	8	9	0	1	2	-	-
1	JOB1	79	24	13	53	47	66	85	17	92	47	46	13	subcon9	92
2	JOB2	43	59	33	95	55	97	34	55	84	94	26	56	subcon6	97
3	JOB3	29	52	0	27	13	33	0	11	71	86	6	76	subcon10	86
4	JOB4	88	83	64	72	0	67	27	47	83	62	35	38	subcon1	88
5	JOB5	65	90	56	62	53	91	48	23	6	89	49	33	subcon2	90
6	JOB6	44	79	86	93	71	7	86	59	0	56	45	59	subcon3	86
7	JOB7	35	51	-9	91	39	32	3	12	79	25	79	81	subcon4	91
8	JOB8	50	12	59	32	23	64	20	94	97	14	11	97	subcon12	97
9	JOB9	25	17	39		38	63	87	14	4	18	11	45	subcon7	87
															===
															814

Note that three subcontractors, SUBCON5, SUBCON8, and SUBCON11, are not assigned to any jobs.

# Example 2.2. Assigning Construction Jobs to Subcontractors

Suppose that the data from Example 2.1 are transposed so that variables are jobs. Then each observation contains the profit from awarding each job to a single subcontractor. The following program finds the maximum profit assignment and produces Output 2.2.1.

```
title 'Assigning Construction Jobs to Subcontractors';
data profit;
  input subcont $ job1-job9;
  datalines;
                  88
SUBCON1 79
           43 29
                       65
                          44
                               35
                                   50
                                       25
SUBCON2 24 59 52 83
                       90
                           79
                               51
                                   12
                                       17
SUBCON3 13 33 0 64 56
                           86
                               -9
                                   59
                                       39
SUBCON4 53 95
               27
                           93
                  72
                       62
                               91
                                   32
                                        •
                                   23
SUBCON5 47 55 13
                                       38
                  0
                       53
                           71
                               39
SUBCON6 66 97 33
                       91
                           7
                               32 64 63
                   67
SUBCON7 85 34
               0 27
                       48
                           86
                               32
                                   0
                                       87
SUBCON8 17 55 11
                  47
                               12
                       23
                           59
                                   94
                                      14
SUBCON9
      92 84 71 83
                       6
                           0
                               79 97
                                        4
SUBCON10 47 94 86 62
                       89
                           56 25 14 18
SUBCON11 46 26 6 35
                       49
                           45
                               79
                                   11
                                       11
SUBCON12 13
          56
               76
                  38
                       33
                           59
                               81 97
                                       45
;
proc assign maximum data=profit;
  cost job1-job9;
  id subcont;
run;
proc print;
  sum _fcost_;
```

The cost of the optimal assignment written to the SAS log is

```
NOTE: The maximum return assignment yields 814.
```

This means that the contractor can expect a profit of \$814 if the optimal assignment is followed.

Output 2.2.1. Assigning Subcontractors to Construction Jobs

		Ass:	igning	g Cons	struc	tion a	Jobs t	o Sub	conti	ractor	rs	
Obs	subcont	job1	job2	job3	job4	job5	job6	job7	job8	job9	_ASSIGN_	_FCOST_
1	SUBCON1	79	43	29	88	65	44	35	50	25	job4	88
2	SUBCON2	24	59	52	83	90	79	51	12	17	job5	90
3	SUBCON3	13	33	0	64	56	86	-9	59	39	job6	86
4	SUBCON4	53	95	27	72	62	93	91	32	•	job7	91
5	SUBCON5	47	55	13	0	53	71	39	23	38		0
6	SUBCON6	66	97	33	67	91	7	32	64	63	job2	97
7	SUBCON7	85	34	0	27	48	86	32	0	87	job9	87
8	SUBCON8	17	55	11	47	23	59	12	94	14		0
9	SUBCON9	92	84	71	83	6	0	79	97	4	job1	92
10	SUBCON10	47	94	86	62	89	56	25	14	18	job3	86
11	SUBCON11	46	26	6	35	49	45	79	11	11		0
12	SUBCON12	13	56	76	38	33	59	81	97	45	job8	97
												814

run;

The macro variable \_ORASSIG defined by PROC ASSIGN contains information regarding the termination of the procedure.

This information can be useful when you use PROC ASSIGN as part of a larger SAS program. For example, the following information is written to on the log using the macro language with the statement:

```
%put &_orassig;
```

On the log the following appears

Output 2.2.2. \_ORASSIG macro variable

```
STATUS=SUCCESSFUL OBJECTIVE=814.
```

## Example 2.3. Minimizing Swim Times

A swimming coach needs to assign male and female swimmers to each stroke of a medley relay team. The swimmers' best times for each stroke are stored in a SAS data set. The ASSIGN procedure is used to evaluate the times and to match strokes and swimmers to minimize the total relay swim time. The following statements produce Output 2.3.1:

```
title 'Assigning Strokes Using the BY Statement';
data relay;
   input name $ sex $ back breast fly free;
   datalines;
SUE
       F 35.1 36.7 28.3 36.1
KAREN
       F 34.6 32.6 26.9 26.2
       F 31.3 33.9 27.1 31.2
JAN
ANDREA F 28.6 34.1 29.1 30.3
CAROL F 32.9 32.2 26.6 24.0
ELLEN F 27.8 32.5 27.8 27.0
JIM
       M 26.3 27.6 23.5 22.4
MIKE
       M 29.0 24.0 27.9 25.4
       M 27.2 33.8 25.2 24.1
SAM
CLAYTON M 27.0 29.2 23.0 21.9
;
proc assign out=fast;
   cost back--free;
   id name;
  by sex;
proc print;
  by sex;
   sum _fcost_;
run;
```

		Assignin	lg Strokes	Using th	e bi sta	Cemenc							
sex=F													
Obs	name	back	breast	fly	free	_ASSIGN_	_FCOST_						
1	SUE	35.1	36.7	28.3	36.1		0.0						
2	KAREN	34.6	32.6	26.9	26.2	breast	32.6						
3	JAN	31.3	33.9	27.1	31.2	fly	27.1						
4	ANDREA	28.6	34.1	29.1	30.3		0.0						
5	CAROL	32.9	32.2	26.6	24.0	free	24.0						
6	ELLEN	27.8	32.5	27.8	27.0	back	27.8						
sex							111.5						
			s	ex=M									
bs	name	back	breast	fly	free	_ASSIGN_	_FCOST_						
7	JIM	26.3	27.6	23.5	22.4	free	22.4						
8	MIKE	29.0	24.0	27.9	25.4	breast	24.0						
9	SAM	27.2	33.8	25.2	24.1	back	27.2						
10	CLAYTON	27.0	29.2	23.0	21.9	fly	23.0						
ex							96.6						
							=======						

#### Output 2.3.1. Assigning Strokes Using the BY Statement

On the basis of this solution, Jim will swim freestyle, Mike will swim breast stroke, Sam will swim back stroke, and Clayton will swim butterfly. For the women's team, Karen will swim breast stroke, Jan will swim butterfly, Carol will swim freestyle, and Ellen will swim back stroke.

## Example 2.4. Using PROC ASSIGN with a BY Statement

A major beverage company wants to assign TV commercials to television commercial time slot openings in a way that maximizes the overall effectiveness of its television advertising. The time slots in this example begin at 7:00 on a Saturday morning and run hourly through 3:00 p.m. A combination of Nielsen TV ratings and market research testing produces an effectiveness rating for each time slot and commercial combination. The commercials are of three types: children, lifestyle, and sports. The company is willing to show up to three commercials in each time slot as long as the commercials are of different types. Which commercials should be assigned to which time slots in order to maximize the total effectiveness of its television advertising campaign? Data are missing for those time slots where certain programs are not available; for instance, no sports shows are presented during the 7:00 a.m. time slot.

The following statements produce Output 2.4.1:

```
title 'Assigning Televison Commercials Using the BY Statement';
 data beverage;
    input commercl $ type $ slot1-slot9;
   datalines;
 COMM1 KIDS 27.2 32.8 30.4 31.5 20.9 19.8
                                           .
                                                •
 COMM2 KIDS 37.4 33.5 38.4 32.4 25.6 27.2
                                          •
                                                •
COMM3 KIDS 32.5 31.9 34.6 34.5 26.7 28.3
COMM4 LIFEST . 22.6 25.9 25.3 26.4 28.3 29.1 22.2 20.2
 COMM5 LIFEST . 25.1 36.6 36.8 38.2 33.5 33.2 33.1 30.1
COMM6 LIFEST . 20.2 31.3 29.3 24.6 25.1 20.0 22.4 23.1
COMM7 SPORTS . . 25.1 26.1 28.3 36.1 29.4 31.7 34.5
COMM8 SPORTS .
                 . 24.7 27.2 36.4 31.2 28.7 33.2 33.1
COMM9 SPORTS . 20.2 20.4 20.2 25.6 37.8 35.6 32.4 34.3
 ;
proc assign maximum out=newslots;
  cost slot1-slot9;
  id commercl;
  by type;
run;
proc print;
  by type;
   sum _fcost_;
run;
```

							type=K	IDS						
		c										_		
		0										A		_
		m					s	s				s s		F C
		e		s 1	s 1	s 1	1	1	s 1	s s 1 1	s 1	I		0
	0	r		0	0	0	0	0	0	0 0		G		S
	b	c		t	t	t	t	t	t	t t		N		т
	s	1		1	2	3	4	5	6	78	9	_		_
	1	COM	M1	27.2	32.8	30.4	31.5	20.9	19.8		•	slot2	3	2.8
	2	COM	M2	37.4		38.4		25.6		• •	•	slot3	3	8.4
	3	COM	М3	32.5	31.9	34.6	34.5	26.7	28.3	• •	•	slot4		4.5
 tv	rpe													 5.7
	-													
						t	ype=LI	FEST -						
		c												
		o										A	-	_
	1	m										S		F
		m	s	s	S	s	S	s	s	s	s			C
-		e	1	1	1	1	1	1	1	1	1			0
5 6		r c	o t	o t	o t	o t	o t	o t	o t	o t	c t			S T
5		1	1	2	3	4	5	6	7	8	9		-	-
	~~~													
4 5		MM4 MM5	•	22.6 25.1		25.3 36.8	26.4 38.2		29.1 33.2	22.2 33.1			t7	29.1 38.2
6		MM6	•					25.1	20.0					31.3
			-											
pe														98.6
						t	ype=SP	ORTS -						
		с 0										A	-	
		m										S		F
	1	m	s	s	s	s	s	s	s	s	s	s S		C
		e	1	1	1	1	1	1	1	1	1			0
C	1	r	0	0	0	0	0	0	0	0	c			S
c			t 1		t	t	t	t	t 7	t				Т
5	-	T	Т	2	3	4	5	6	7	8	9	, –		-
														34.5
8		MM8												36.4
9	00	mм9	•	20.2	20.4	20.2	23.0	3/.8	33.0	32.4	54.	3 slo	000	37.8
pe														108.7

## Output 2.4.1. Assigning Television Commercials using the BY Statement

On the basis of this survey, this company has decided to drop commercial advertising from the 7:00 a.m. (slot1) and 2:00 p.m. (slot8) time slots.

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